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Ronald L. Chichester

RONALD L. CHICHESTER

REG. No. 36,765

APRIL 6, 2005

DATE OF MAILING

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U.S.S.N.:	10/028,235
FILING DATE:	DECEMBER 21, 2001
APPLICANT:	BASHEN, ET AL.
GROUP ART UNIT:	2143
EXAMINER:	JERRY B. DENNISON
ATTORNEY DOCKET NO.	068635.0108
TITLE:	"METHOD, APPARATUS AND SYSTEM FOR PROCESSING COMPLIANCE ACTIONS OVER A WIDE AREA NETWORK

INCLUDED IN THIS MAILING FOR THE ABOVE-REFERENCED PATENT APPLICATION ARE:

1. RESPONSE TO NON-FINAL OFFICE ACTION, MAILED MARCH 25, 2005; AND
2. RETURN POSTCARD TO ACKNOWLEDGE RECEIPT OF ABOVE ITEM.

ATTORNEY CONTACT:	RONALD L. CHICHESTER
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Date Accepted Mo. Day Year Time Accepted <input type="checkbox"/> AM <input type="checkbox"/> PM	Day of Delivery <input type="checkbox"/> Next <input type="checkbox"/> 2nd <input type="checkbox"/> 2nd Del. Day	Postage \$
	Scheduled Date of Delivery Month Day	Return Receipt Fee \$
Flat Rate <input type="checkbox"/> or Weight lbs. ozs.	Scheduled Time of Delivery <input type="checkbox"/> Noon <input type="checkbox"/> 3 PM	COD Fee \$ Insurance Fee \$
	Military <input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day	Total Postage & Fees \$
	Int'l Alpha Country Code	Acceptance Emp. Initials

DELIVERY (POSTAL SERVICE USE ONLY)

Delivery Attempt Mo. Day	Time <input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature
Delivery Attempt Mo. Day	Time <input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature
Delivery Date Mo. Day	Time <input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature

☐ WAIVER OF SIGNATURE (Domestic Mail Only) Additional merchandise insurance is void if waiver of signature is requested. I wish delivery to be made without obtaining signature of addressee or addressee's agent. If delivery employee judges that article can be left in secure location and I authorize that delivery employee's signature constitutes valid proof of delivery.

NO DELIVERY ☐ Weekend ☐ Holiday

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Express Mail Corporate Acct. No.

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PHONE 713 229 1234

R. Chickles - 4516
BAKER BOTTS LLP
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HOUSTON TX 77002-4914

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PHONE 800 786 9199

MAIL STOP Amendment
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068635.0108

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EV590025126US

Receipt is hereby acknowledged of the papers filed as indicated in connection with the above identified case. COMMISSIONER FOR PATENTS
Due Date: 4.25.2005

- Papers filed herewith on: 4.6.2005
- DOCKET NO.: 068635.0108
- ATTY/SEC: RCL/pdr
- APPLICANT(S): (baker) et al.
- USN: 14028235 FILED: 12.21.2001
- PAT NO: N/A
- ☐ New Application with Transmittal Letter
- ☐ Utility ☐ Design ☐ CIP ☐ Provisional
- ☐ Filing Under 37 CFR 1.53(b) ☐ CONT ☐ DIV
- ☐ Filing Under 37 CFR 1.114(RCE)
- ☐ Filing Under 37 CFR 1.53(d) (CPA)
- ☐ Specification Consisting of: _____ pages
- ☐ Declaration
- ☐ Power of Attorney
- ☐ Assignment / Cover Letter
- ☐ Letter to Official Draftsman
- ☐ Drawings _____ Sheets ☐ Formal ☐ Informal ☐ Red-link
- ☐ Priority Document(s) _____
- ☐ Amendment:
- ☐ Transmittal ☐ Large Entity ☐ Small Entity
- ☒ Response to NCOA mailed 3.25.2005
- ☐ Information Disc Simult. PTO-1449(s) _____ ref(s)
- ☐ Notice of Appeal ☐ Appeal Brief
- ☐ Issue Fee Transmittal
- ☐ FEES: _____
- Other: _____

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4-7-05

2143

EV590025126US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

BASHEN, ET AL.

Serial No.: **10/028,235**

Filed: **December 21, 2001**

Title: **"Method, Apparatus and System
for Processing Compliance Actions
Over a Wide Area Network"**

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§

Group Art Unit: **2143**

Examiner: **Jerry B. Dennison**

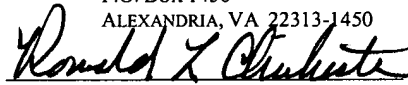
Docket No.: **068635.0108**

Honorable Commissioner for Patents
MAIL STOP AMENDMENT
P.O. Box 1450
Alexandria, VA 22313-1450

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RONALD L. CHICHESTER REG. No. 36,765

MAILING DATE: **APRIL 6, 2005**
EXPRESS MAIL LABEL: **EV590025126US**

**RESPONSE UNDER 37 C.F.R. §1.111 TO
NON-FINAL OFFICE ACTION, MAILED MARCH 25, 2005**

Dear Sir:

In response to the Office Action mailed March 25, 2005, Applicants respectfully submit the remarks and amendments set forth below and request favorable action thereon. The amendments are formatted and presented in accordance with the Revised Format for Amendments promulgated earlier in 2003 by the U.S. Patent and Trademark Office.

As the one-month shortened statutory period for reply is due April 25, 2005, this Response is considered timely filed.

AMENDMENTS

Amendments to the Claims are reflected in the "PENDING CLAIMS AND STATUS THEREOF" which begins on page 3 of this Response.

Remarks begin on page 47 of this Response.